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NOV 13 2002

IBM Docket No. GB920000044US3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Transmittal of Preliminary Amendment

Date: November 5, 2002

In re Application of: Kent E. Seamons, et al

Serial Number: 09/800,641

Filed: March 7, 2001

For: Automated Trust Negotiation

Art Unit: 2161

Examiner:

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X No additional fee is required.

The fee due for this response is calculated below.

Claims Remaining After Amendment	Highest Number Already Paid For*	Present Extra	Rate	Additional Fee
Total	-	= 0 @	\$18.00	= \$0.00
Independent	-	= 0 @	\$84.00	= \$0.00
First Presentation of Multiple Dependent Claims			\$280.00	= \$0.00
Petition Fee(s)	0			= \$0.00
TOTAL				\$0.00

*Total claims previously paid for must be 20 or more. Independent claims previously paid for must be 3 or more.

Please charge the Deposit Account identified below for the **TOTAL** set forth above. The Commissioner is authorized to charge payment of any additional fees required under 37 CFR §1.116 and 37 CFR §1.117 or to credit any overpayment to the designated Deposit Account. A duplicate copy of this sheet is enclosed.

Certificate of Mailing Under 37 CFR 1.8(a)

Date: November 5, 2002

I hereby certify that I am depositing the enclosed or attached correspondence with the U.S. Postal Service on the above date as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Dianne Lane

Dianne Lane

BY:

Jeanine S. Ray-Yarletts

Attorney/Agent of Record Reg. No. 39,808

Date: November 5, 2002

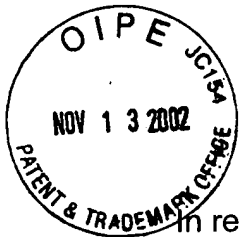
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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of Kent E. Seamons, et al.

: Nov. 5, 2002
: IBM Corporation
: Dept. T81/Bldg. 062
: P.O. Box 12195
: Res. Tri. Park, NC 27709

Serial No. 09/800,641

Filed: March 7, 2001

For: Automated Trust Negotiation

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, DC 20231

Please amend the above identified application as follows:

In the Specification:

Please insert prior to the first paragraph, the following:

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This invention was made with Government support under Contract F30602-98-C-0222 awarded by the Air Force. The Government has certain rights in this invention".

REMARKS

This amendment is being submitted as it was determined that this patent application may have been first reduced to practice in the execution of the above mentioned government contract. Please make these changes prior to examination.

Ser. No. 09/800,641

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Docket GB920000044US3